



Broker Originator Compensation Certification  
Borrower Compensation paid by Borrower

Borrower Name: \_\_\_\_\_

Property Address: \_\_\_\_\_

Broker Name: \_\_\_\_\_ Broker Number: \_\_\_\_\_

Loan Amount: \$ \_\_\_\_\_ Date: \_\_\_\_\_

The borrower(s) on this transaction have elected pay the Broker's compensation using their own funds or through loan proceeds.

Broker hereby certifies that all compensation paid to Broker by the Borrower, as well as all compensation paid to Broker's originator/loan officer, comply with the originator compensation rules under the Truth in Lending Act and Federal Reserve Broad Regulation Z at 12 C.R. R. 226.36.

Broker hereby certifies as follows (initial one):

- \_\_\_\_\_ Broker is a sole proprietor and does not employ other originations/loan officers; the loan originator is a licensed Broker, or
- \_\_\_\_\_ The licensed Broker originated this loan with the Borrower, or
- \_\_\_\_\_ The originator / loan officer is paid in the form of salary or hourly wage, and is not compensated on a commission basis.

\_\_\_\_\_  
Broker Signature

\_\_\_\_\_  
Broker Name

\_\_\_\_\_  
Company Name

**\*Must be signed by the Broker of Record**